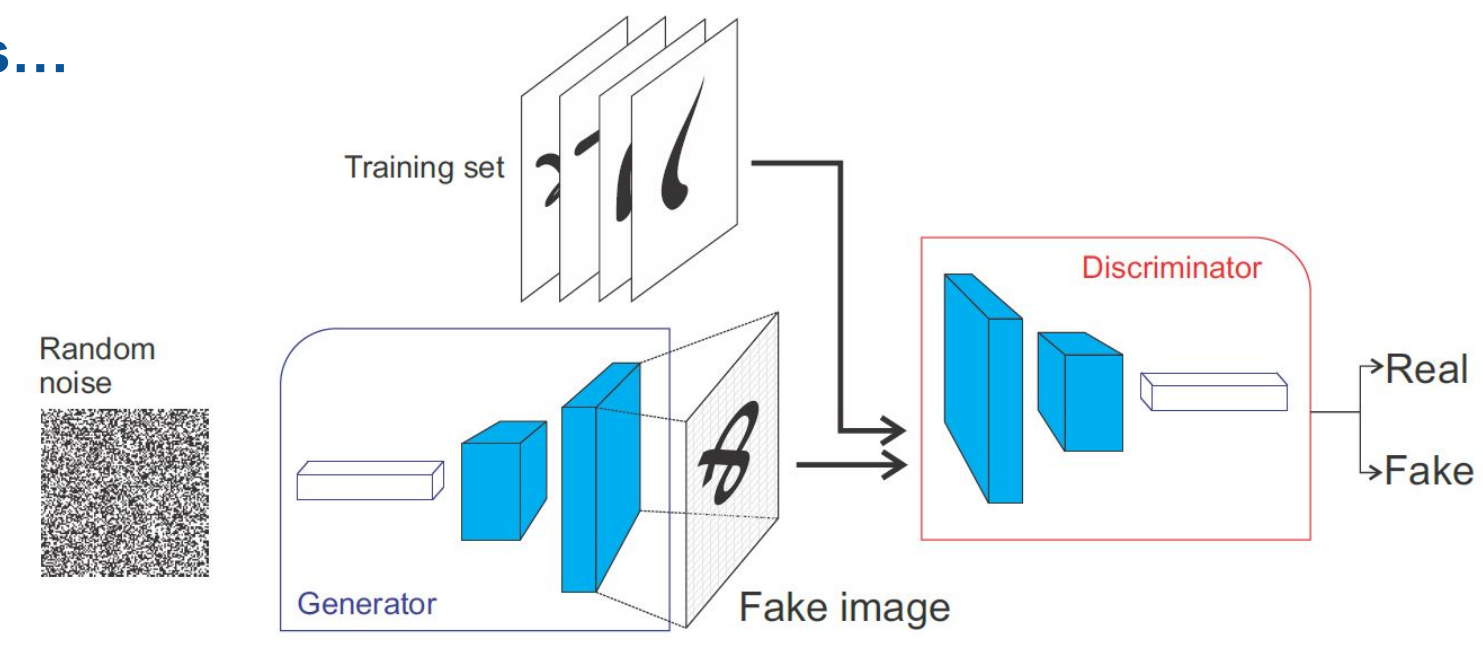


Language GANs fall short

GANs are good for approximating continuous data distributions...

... but have hard time with discrete data (e.g., text):

- No backpropagation from the discriminator to the generator:
 - Reinforcement Learning with Discriminator scores as Rewards
 - Noisy and Moving Rewards
- Existing language GANs are known to fall short (Caccia et al, 2020)
- Cautious Sampling is a key to stabilize the process (Scialom et al., 2020a)



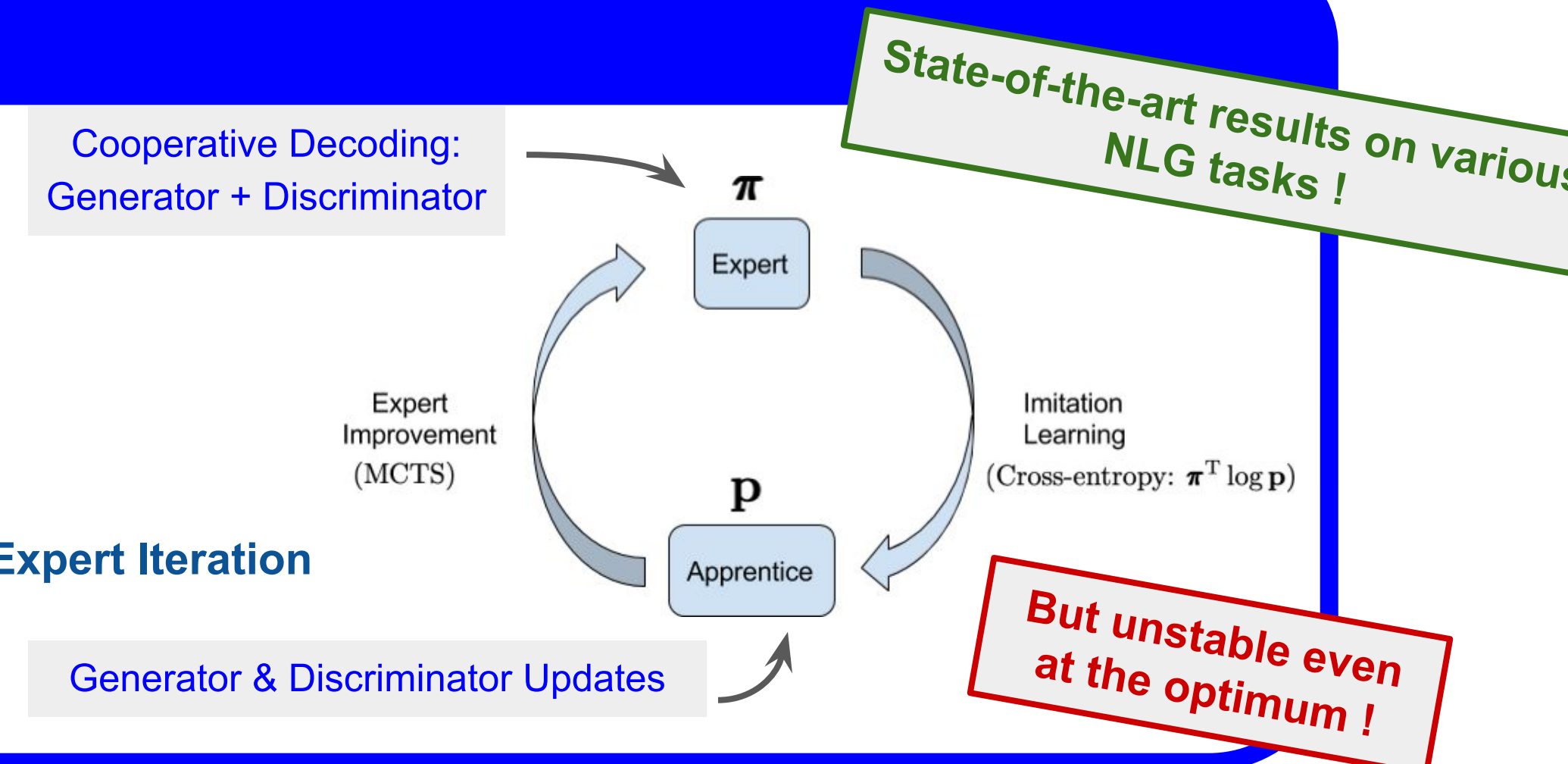
Cooperative Decoding

Use of the discriminator D cooperatively with the generator p for sampling texts

- In Beam Search:
 - DAS (Scialom et al., 2020b)
 - Discriminative EBM (Bakhtin et al., 2021)
- In MCTS:
 - SelfGAN (Scialom et al., 2021)

SelfGAN: Cooperative decoding at train time via Expert Iteration

- Denser Rewards
- More Realistic Samples



Generative Cooperative Networks (GCN, this work)

Based on Reward-augmented Maximum Likelihood (RML) (Norouzi et al., 2016):

- considers a Boltzmann distribution $q(x) \propto \frac{\exp(f(x))}{\tau}$ with $f(x)$ a reward dependent function and τ a temperature
- updates the generator p via: $\min_p KL(q||p)$

Our GCN considers $f(x) = \log(p_{t-1}(x)) + \log(D_t(x))$

- p_{t-1} is the generator at previous iteration
- D_t is a discriminator trained with samples generated from p_{t-1}

Variance reduction via Cooperative Decoding with MCTS and Weighted Importance Sampling

Ensures asymptotic convergence under usual assumptions of GANs!
 Avoids Catastrophic Forgetting (e.g., SelfGAN)

Discrete-GAN

Algorithm Discrete-GAN

- Input: a generator p_θ , a discriminator family \mathcal{D} .
- for iteration t from 1 to T do

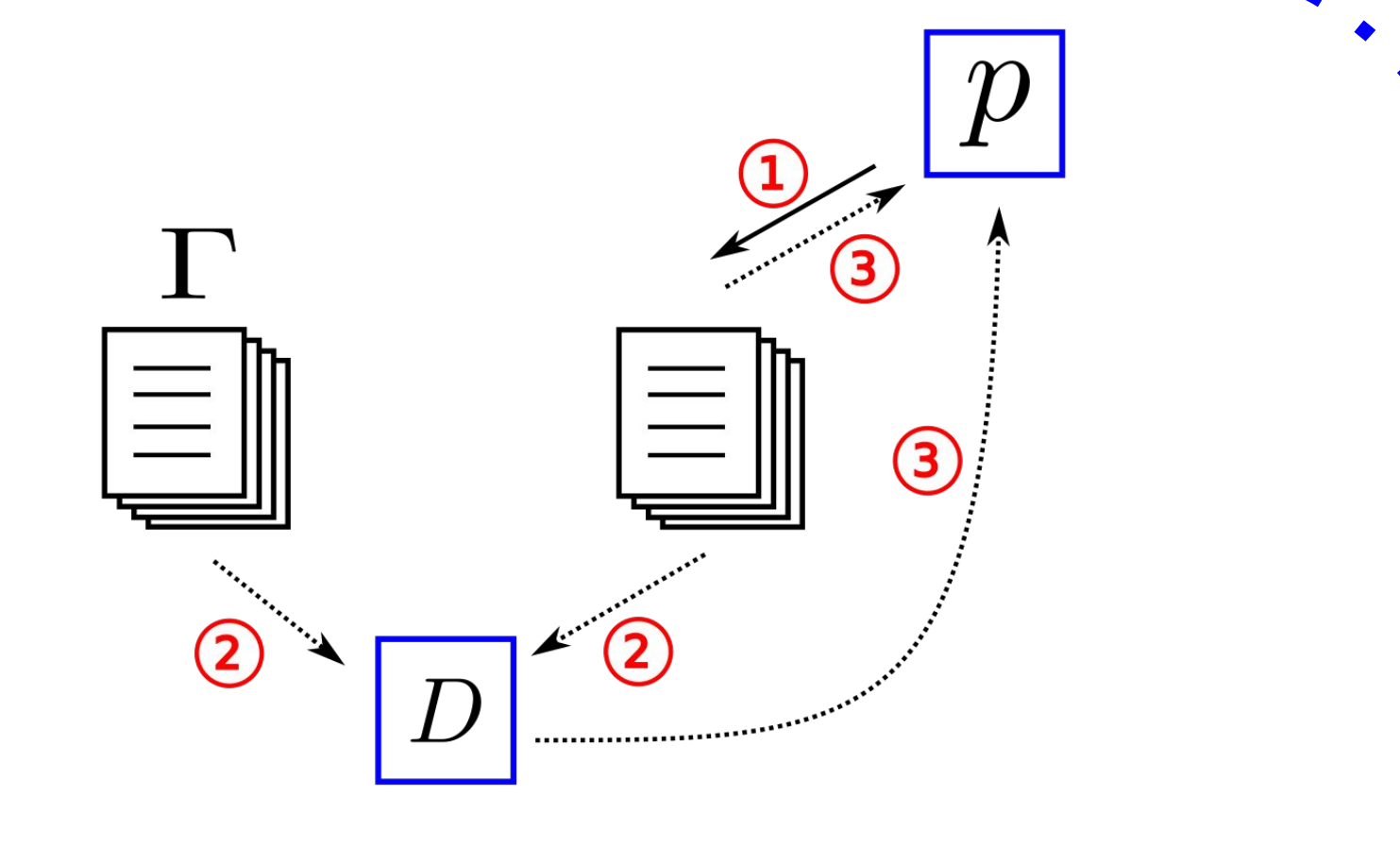
$$D_t \leftarrow \arg \max_{D \in \mathcal{D}} \begin{bmatrix} \mathbb{E}_{y \sim p_d(y)} [\log D(y)] + \\ \mathbb{E}_{y \sim p_\theta(y)} [\log(1 - D(y))] \end{bmatrix}$$

$$\theta \leftarrow \theta + \epsilon \mathbb{E}_{y \sim p_\theta(y)} [D_t(y) \nabla_\theta \log p_\theta(y)]$$

end for

- Uses directly the Generator p to produce training samples
 - Very unstable, sparse rewards
 - Very noisy discriminator at the mode of the distribution p (Scialom et al., 2020a)

- Requires a scheduler to avoid divergence



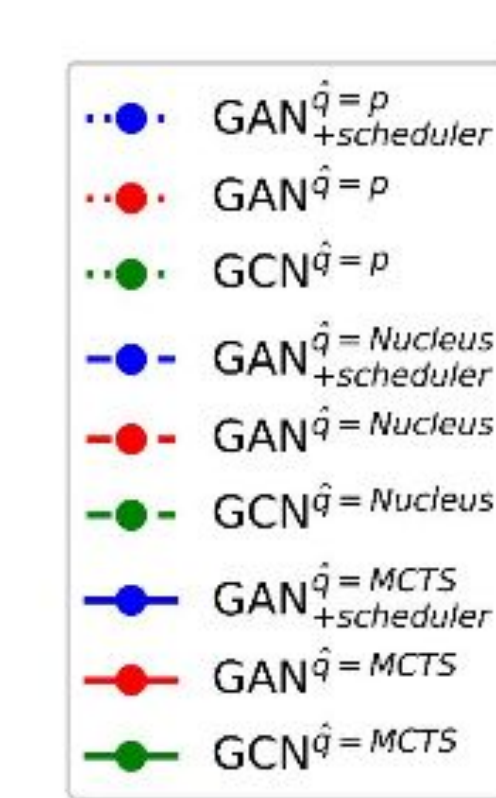
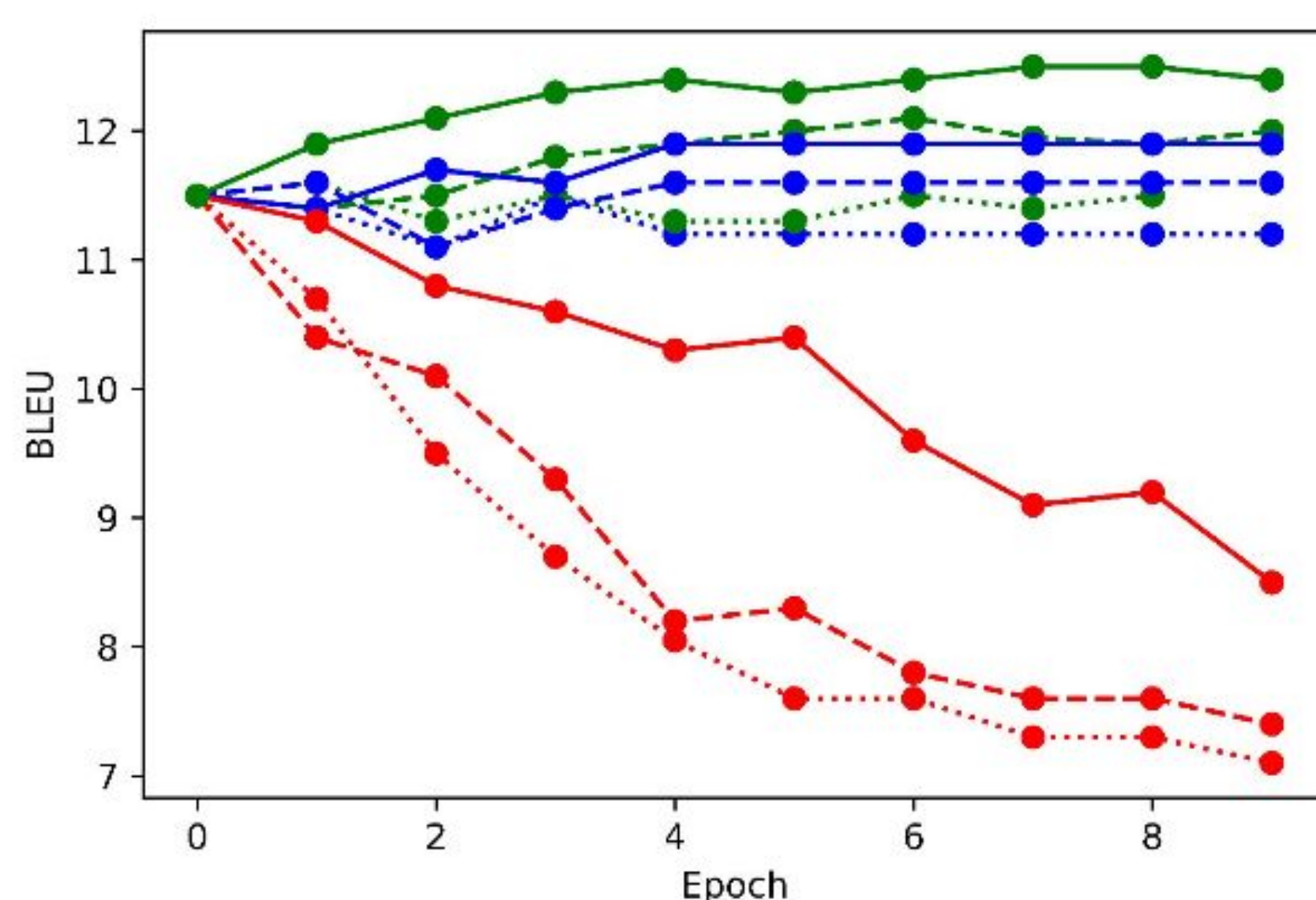
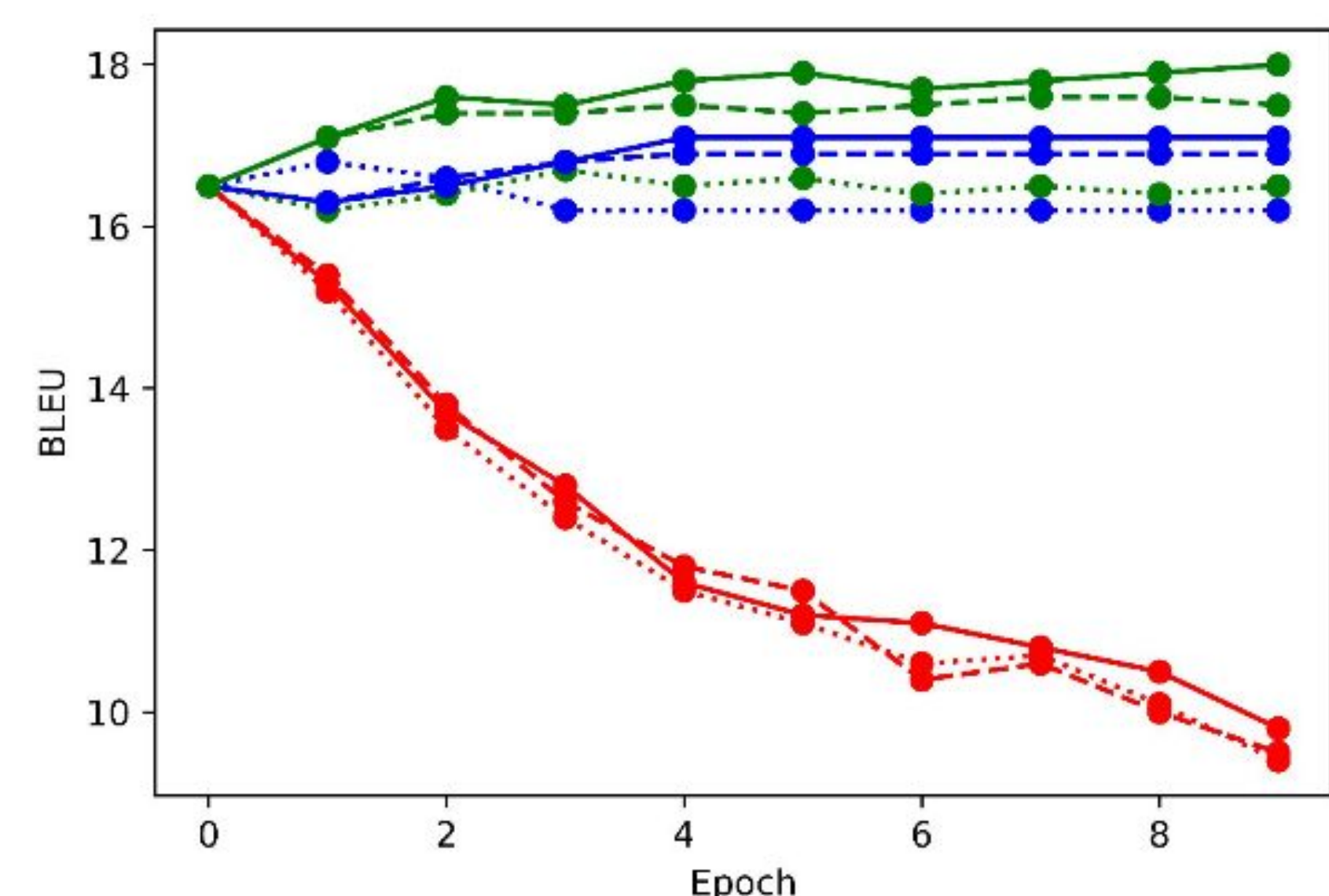
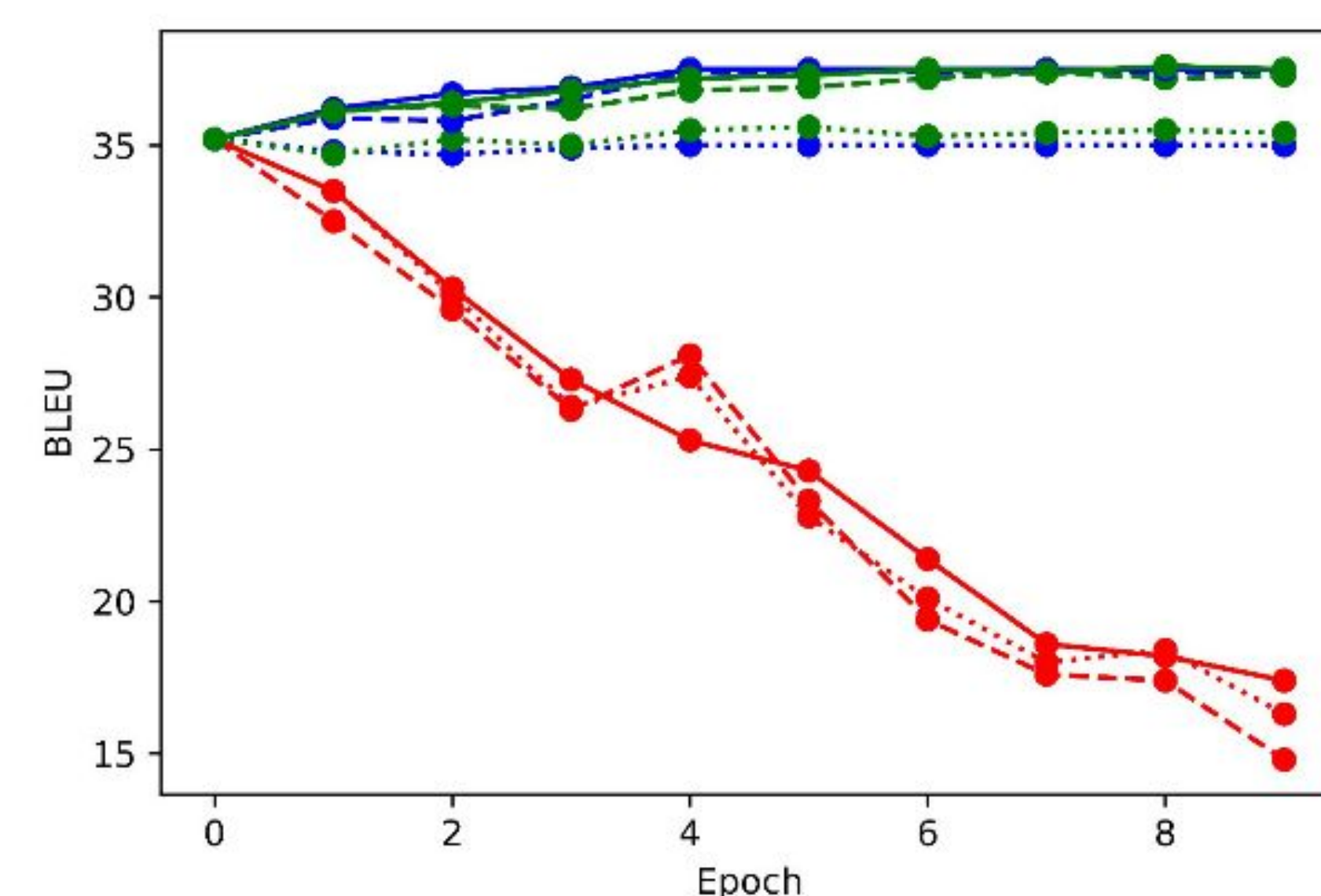
- Sample M documents from generator p $y^i \sim p_\theta(y^i)$
- Train the discriminator D_t
- Train p with rewards from discriminator D on generated samples (policy gradient)

$$\theta \leftarrow \theta + \epsilon \frac{1}{M} \sum_{i=1}^M D_t(y^i) \nabla_\theta \log p_\theta(y^i)$$

Unconditional NLG

Question Generation

Summarization



RML-GAN (this work)

Algorithm RML-GAN

- Input: a generator $p_0 \in \mathcal{G}$, a discriminator family \mathcal{D} .
- for iteration t from 1 to T do

$$D_t \leftarrow \arg \max_{D \in \mathcal{D}} \begin{bmatrix} \mathbb{E}_{y \sim p_d(y)} [\log D(y)] + \\ \mathbb{E}_{y \sim p_{t-1}(y)} [\log(1 - D(y))] \end{bmatrix}$$

$$p_t \leftarrow \arg \min_{p \in \mathcal{G}} KL(q_t \propto p_{t-1} D_t || p)$$

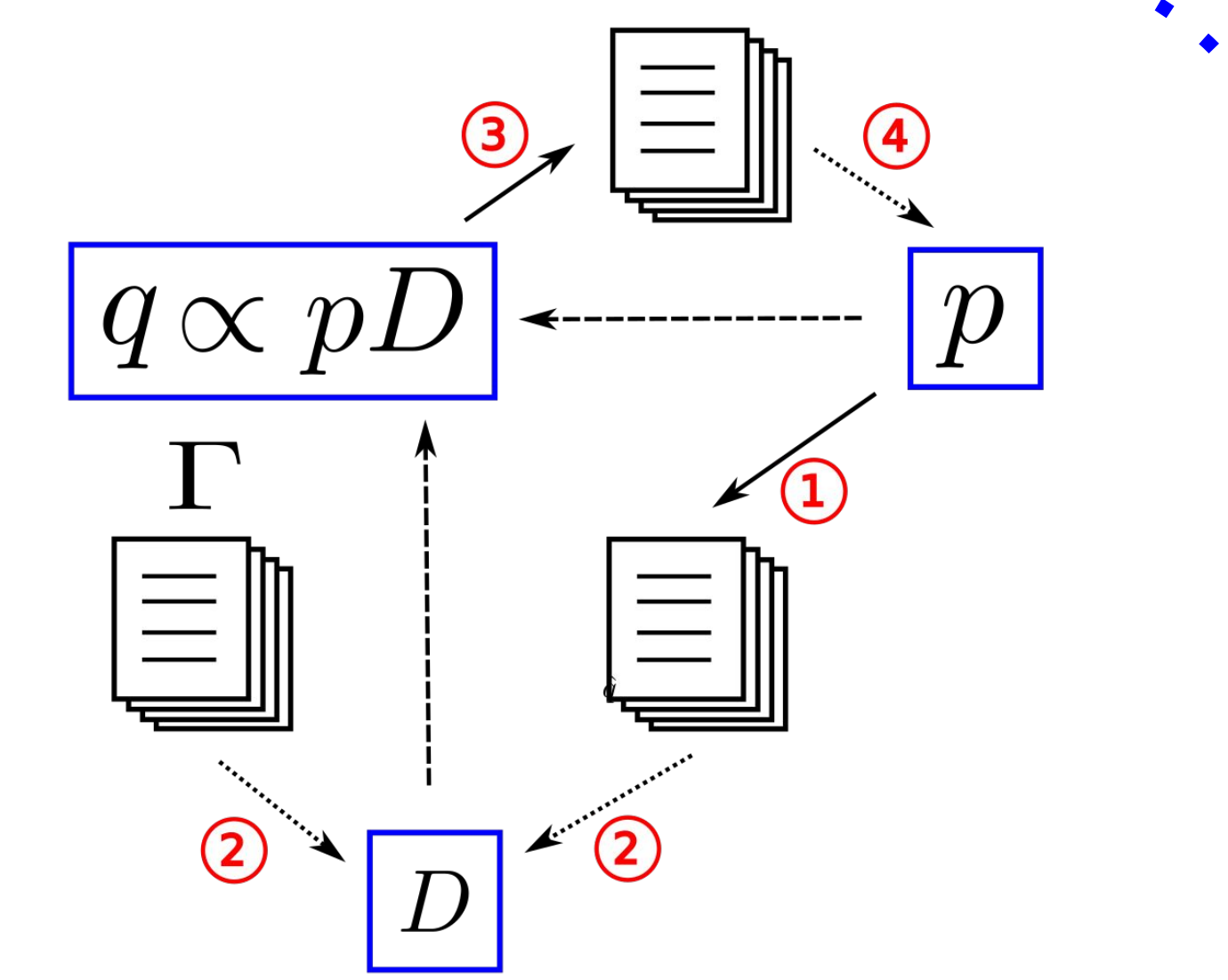
end for

Guaranteed Convergence !!

$$KL(p_d || p_t) - KL(p_d || p_{t-1}) \leq \log\left(\frac{1}{\eta} - 1\right) < 0$$

$$\text{with } \log \eta = \min \left(\mathbb{E}_{y \sim p_d(y)} [\log(D_t(y))], \mathbb{E}_{y \sim p_{t-1}(y)} [\log(1 - D_t(y))] \right)$$

But how to sample from q ?



- Sample documents from generator p
- Train the discriminator D
- Generate M samples from $q \propto pD$ $y^i \sim q(y^i)$
- Train p using samples from q $\theta \leftarrow \theta + \epsilon \frac{1}{M} \sum_{i=1}^M \nabla_\theta \log p_\theta(y^i)$

GCN (this work)

Algorithm 2 Generative Cooperative Networks

- Input: generator p_θ with parameters θ , discriminator D_ϕ with parameters ϕ , training set Γ , sampling strategy \hat{q} , batch size m , max sequence length l .
- for $t = 1, \dots, T$ do

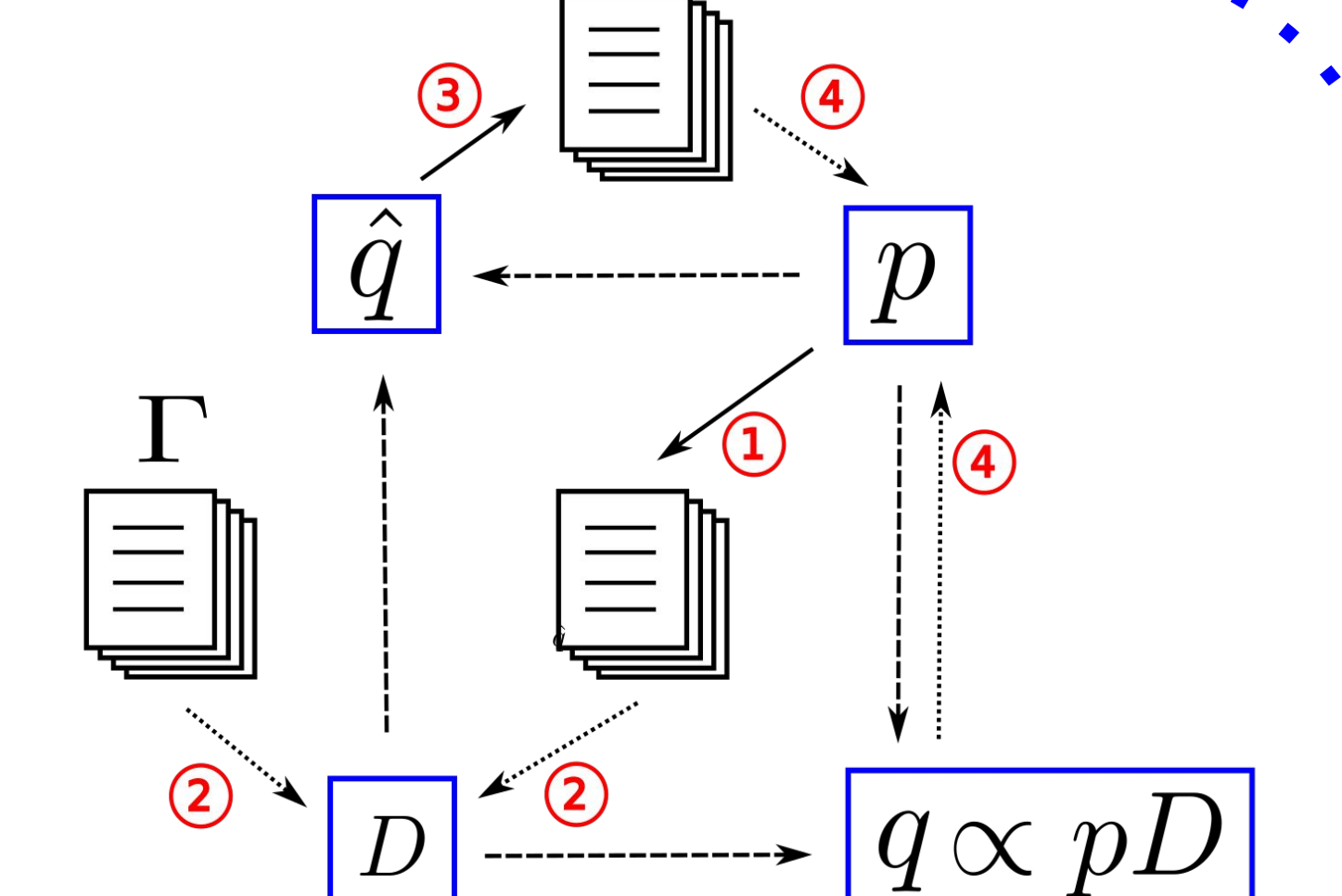
- Sample $\{(x^i, y^i)\}_{i=1}^m$ from Γ
- $\forall i \in [1; m]$: Sample $\hat{y}^i \sim p_\theta(\hat{y}^i | x^i)$;
- $\phi \leftarrow \phi + \epsilon_\phi \sum_{i=1}^m \sum_{j=1}^l [\nabla_\phi \log D_\phi(x^i, \hat{y}_{0:j-1}^i)] + [\nabla_\phi \log(1 - D_\phi(x^i, \hat{y}_{0:j-1}^i))]$
- $\forall i \in [1; m]$: Sample $\hat{y}^i \sim \hat{q}(\hat{y}^i | x^i)$;
- $\theta \leftarrow \theta + \epsilon_\theta \left[\sum_{i=1}^m \frac{1}{w^i} \sum_{j=1}^l \nabla_\theta \log p_\theta(\hat{y}^i | x^i) \right]$ with $w^i = \frac{p_\theta(\hat{y}^i | x^i) D_\phi(x^i, \hat{y}^i)}{\hat{q}(\hat{y}^i | x^i)}$

end for

Automatic Scheduling !

With $\hat{q} = p_\theta$, we have:

$$\theta \leftarrow \theta + \epsilon \frac{1}{Z_t} \sum_{i=1}^M D_t(y^i) \nabla_\theta \log p_\theta(y^i), \text{ where } Z_t = \sum_{i=1}^M p_\theta(y^i) D_t(y^i)$$



- Sample documents from generator p
- Train the discriminator D
- Generate M samples from \hat{q} $y^i \sim \hat{q}(y^i)$
- Train p using weighted importance sampling $\theta \leftarrow \theta + \epsilon \frac{1}{\sum_{i=1}^M w^i} \sum_{i=1}^M w^i \nabla_\theta \log p_\theta(y^i)$ with: $w^i = \frac{q(y^i)}{\hat{q}(y^i)}$

Z_t acts as a scheduler

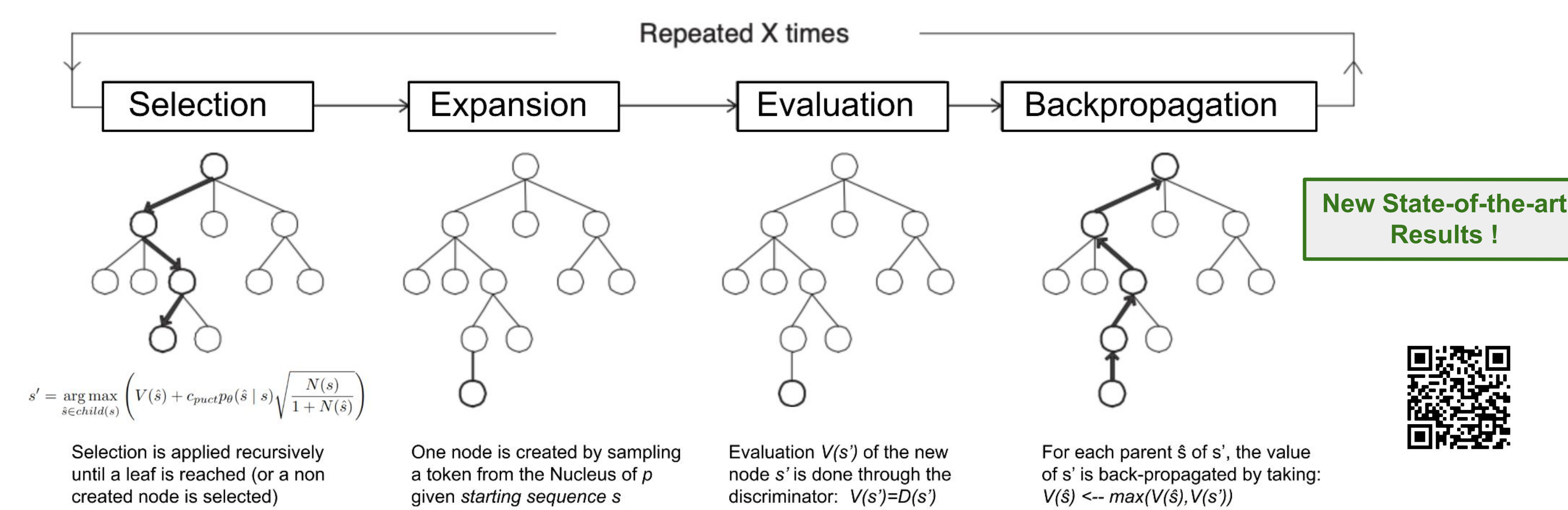
- It increases along with agreements between the generator and the discriminator about best sequences

GCN with $\hat{q} = p$ obtains good and stable results without the need of a scheduler

- But sampling closer to the target q allows to get better results !!

The use of Nucleus Sampling (Holtzman et al., 2019) allows to improve results by avoiding sampling from the tail of the distribution p

Monte-Carlo Tree Search allows to go further by circumventing the left-to-right curse of myopic approaches



	QG			Summarization		
	B	R-1	R-L	B	R-1	R-L
MLE	19.7	45.2	41.1	15.9	42.3	40.4
ColdGAN	19.9	45.2	41.4	16.3	42.8	40.7
SelfGAN	20.5	46.6	42.6	17.0	42.8	41.5
GAN $\hat{q}=p$ +scheduler	19.3	45.3	41.2	15.5	40.0	38.8
GAN $\hat{q}=p$	11.2	26.3	23.9	9.8	23.3	22.5
GCN $\hat{q}=p$	19.7	46.2	42.0	15.9	40.8	39.5
GAN $\hat{q}=Nucleus$ +scheduler	20.1	47.3	43.0	16.0	41.8	40.4
GAN $\hat{q}=Nucleus$	11.3	26.6	24.1	10.2	23.5	22.7
GCN $\hat{q}=Nucleus$	20.9	47.7	44.5	16.6	43.2	41.8
GAN $\hat{q}=MCTS$ +scheduler	20.4	47.9	43.5	16.4	42.2	40.9
GAN $\hat{q}=MCTS$	11.7	27.5	25.0	11.7	24.3	23.4
GCN $\hat{q}=MCTS$	21.5	48.3	44.7	17.1	43.4	42.0
GCN $\hat{q}=MCTS$ T5-3B	21.6	48.7	45.2	17.6	43.7	42.3
GCN $\hat{q}=MCTS$ T5-3B	21.8	49.8	45.9	19.2	44.2	43.8

Table 1. Final results on QG and Summarization test sets, in terms of BLEU-4 (B), ROUGE-1 (R-1) and ROUGE-L (R-L). Scores in bold are significantly different from the best baseline (GAN $\hat{q}=MCTS$ +scheduler) according to a 95%-Student-t-test.